Annexures
Emperor’s Garden

EG 1  Emperor’s Garden FADMS Business Stakeholder record
EG 2  Donor Disclosure Obligation record
EG 3  Emperor’s Garden FADMS Disclosures/Claims for Payment (#39033)
EG 4  Invalid over the cap disclosure lodged on behalf of Emperor’s Garden
EG 5  Disclosures/Claims for Payment (#39138) and amended disclosure
EG 6  s.110A(1)(b) notice issued to Emperor’s Garden Pty Ltd pm 22/2/17
EG 7  Emperor’s Garden response to the s.110A(1)(b) notice issued on 22/2/17
Annexures
Emperor's Garden
EG 1
Annexures
Emperor’s Garden
EG 2
### NSW ICAC EXHIBIT

**Emperor's Garden Pty Ltd - Donor Disclosure Obligation 2014/2015**

**Disclosure Obligation Details**
- **Disclosure Obligation Name**: Emperor's Garden Pty Ltd - Donor Disclosure Obligation 2014/2015
- **Disclosure Obligation Type**: Emperor's Garden Pty Ltd
- **Date of Disclosure**: 21/09/2015
- **Required Group**: Local Government
- **Required Reason**: Requested by NSW ICAC
- **Assigned to**: Registration & Disclosure

**Priority Disclosure Information**
- **Disclosure Priority Reason**: Registration

**Key Dates**
- **Disclosure Period Commences**: 01/07/2014
- **Disclosure Period Ends**: 30/06/2015
- **Lodge Period End Date**: 30/06/2015
- **Request for Extension of Period**: 20/06/2015
- **Request for Extension of Date**: 20/06/2015
- **Disclosure Lodged**: 29/11/2015
- **Disclosure Lodged Date**: 29/11/2015

**Donation/Expenditure Cap Details**
- **Expenditure Cap**: $0
- **Expected Expenditure Amount**: $0

**System Information**
- **Last Updated By**: TRM Compliance CFM
- **Last Updated**: 21/09/2015, 11:26 AM

---

### Disclosures

<table>
<thead>
<tr>
<th>Disclosure</th>
<th>Person Responsible to Disclose</th>
<th>Email Address</th>
</tr>
</thead>
<tbody>
<tr>
<td>E196653</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### Compliance Cases

<table>
<thead>
<tr>
<th>Compliance Case Number</th>
<th>Subject</th>
<th>Primary Stakeholder</th>
<th>Secondary Stakeholder</th>
<th>Secondary Stakeholder Type</th>
<th>Compliance Case Status</th>
<th>Open Date</th>
<th>Close Date</th>
<th>Status</th>
</tr>
</thead>
<tbody>
<tr>
<td>E196653</td>
<td></td>
<td>Emperor's Garden Pty Ltd</td>
<td>Emperor's Garden Pty Ltd</td>
<td>Major Political Donor</td>
<td>Closed</td>
<td>3/05/2016</td>
<td>11/10/2016</td>
<td>Closed</td>
</tr>
</tbody>
</table>

### Disclosure Obligation History

<table>
<thead>
<tr>
<th>Date</th>
<th>User</th>
<th>Action</th>
<th>Lodged Disclosure Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>4/01/2015 3:51 PM</td>
<td>KU0000</td>
<td>Changed Disclosure</td>
<td>29/11/2015</td>
</tr>
<tr>
<td>7/01/2015 12:04 PM</td>
<td>KU0000</td>
<td>Changed Disclosure</td>
<td>29/11/2015</td>
</tr>
<tr>
<td>30/06/2015 2:48 PM</td>
<td>KU0000</td>
<td>Changed Disclosure</td>
<td>29/11/2015</td>
</tr>
<tr>
<td>30/06/2015 9:54 AM</td>
<td>KU0000</td>
<td>Changed Disclosure</td>
<td>29/11/2015</td>
</tr>
<tr>
<td>KU0000</td>
<td>Go to list+</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### Notes & Attachments

<table>
<thead>
<tr>
<th>Name</th>
<th>Description</th>
<th>Type</th>
<th>Size</th>
<th>Last Modified</th>
<th>Created By</th>
</tr>
</thead>
<tbody>
<tr>
<td>E196653</td>
<td></td>
<td>Attachment</td>
<td>Emperor's Garden Pty Ltd - Donor Disclosure Obligation 2014/2015 - 20/06/2015</td>
<td>21/09/2015 3:51 PM</td>
<td></td>
</tr>
<tr>
<td>E196653</td>
<td></td>
<td>Attachment</td>
<td>Lodgement for Jonathan Yee and Emperor's Garden P/L</td>
<td>11/10/2015 4:37 PM</td>
<td></td>
</tr>
</tbody>
</table>
Annexures
Emperor’s Garden
EG 3
<table>
<thead>
<tr>
<th>Date</th>
<th>Disclosures Period Ends</th>
<th>Signed by Political Donor Date</th>
<th>Date Checked</th>
<th>Checked By</th>
</tr>
</thead>
<tbody>
<tr>
<td>1/07/2014</td>
<td>30/06/2015</td>
<td>26/11/2015</td>
<td>5/01/2015</td>
<td>LJ</td>
</tr>
</tbody>
</table>

Audit Complete: No Issues Identified

Jemelyn Go
1/07/2015
1/07/2015
The 65K relates to CLP donors which the donor reported under ALP.

Audit note that amendment has been lodged to correct the name of the donor.

Final Audit Approved By: Jemelyn Go
Final Audit Date Approved: 1/07/2015
Final Audit Approval Comments: Late lodgement will be handled by the I

$10,000.00

Monita Petersen: 30/11/2015 1:42 PM
DF201428
**NSW ICAC EXHIBIT**

**Disclosure/Clear for Payment**

<table>
<thead>
<tr>
<th>Vendor Code</th>
<th>Vendor Name</th>
<th>Description of Service/Work</th>
<th>Value of Goods/Services</th>
<th>Date of Payment</th>
<th>Payment Method</th>
</tr>
</thead>
<tbody>
<tr>
<td>000001</td>
<td>ABC Limited</td>
<td>Office Supplies</td>
<td>$1,200</td>
<td>11/03/2023</td>
<td>Bank Transfer</td>
</tr>
<tr>
<td>000002</td>
<td>DEF Company</td>
<td>Stationery</td>
<td>$500</td>
<td>22/04/2023</td>
<td>Cheque</td>
</tr>
</tbody>
</table>

**Actions**

- Changed Final Audit Officer to ABC Limited
- Changed Last Audit Officer to DEF Company
- Changed Last Audit Officer to GHI Corporation

**Notes & Attachments**

- Attached Payment Details
- Attached Payment Receipts

---

**Disclosure Period**

- 01/01/2023 to 31/12/2023

**Political Donor Information**

- Donated by: ABC Limited
- Date: 21/01/2023

---

**Audit Report Information**

- Audit Date: 15/05/2023
- Audit Firm: XYZ & Associates
- Signature: John Doe, Principal Auditor

---

**Lead Item Type**

- Item Type: Expense
- Description: Office Stationery
- Value: $1,750

---

**Custom Reports**

- Report Type: Expense Report
- Details: Office Supplies, Stationery, Postage

---

**Audit Checklists**

- Checklist 1: Expense Processing
- Checklist 2: Revenue Recognition

---

**Compliance Cases**

- Case Type: Non-compliance
- Details: Payment Processing

---

**Disclosure/Clear for Payment History**

<table>
<thead>
<tr>
<th>Date</th>
<th>Amount</th>
<th>Source</th>
</tr>
</thead>
<tbody>
<tr>
<td>01/03/2023</td>
<td>$2,000</td>
<td>Bank Transfer</td>
</tr>
<tr>
<td>22/04/2023</td>
<td>$1,500</td>
<td>Cheque</td>
</tr>
</tbody>
</table>

**Other Notes**

- All payments were made in accordance with contractual agreements.
- Receipts were submitted for all payments.
Annexures
Emperor’s Garden
EG 4
From: Jonathan Yee <jyee@emperorsgarden.com.au>
Sent: Friday, 20 November 2015 2:38 PM
To: FDC Enquiries
Subject: Lodgement for Jonathan Yee and Emperor's Garden P/L

Hi,

I apologise for the delay in lodgement as I had over sighted the matter.

I have attached a lodgement for myself and for my company Emperor’s Garden Pty Ltd.

Please advise if there is anymore documents needed from us.

Your kind assistance is much appreciated.

If you have any questions, please do not hesitate to contact me.

Your Sincerely,

Jonathan Yee P

General Manager/Director

Emperor’s Garden Pty Ltd
www.emperorsgarden.com.au

T: +61 2 9281 0010
F: +61 2 9281 2251

Please consider the environment before you print this e-mail.
Disclosure of political donations for a Major Political Donor for the year ending 30 June 2015

Donor details

<table>
<thead>
<tr>
<th>DONOR SURNAME</th>
<th>DONOR GIVEN NAME</th>
<th>DONOR DATE OF BIRTH</th>
</tr>
</thead>
<tbody>
<tr>
<td>Emperor's Garden P/L</td>
<td>8260 1623 767</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>ENTITY NAME (REGISTERED BUSINESS NAME OF ENTITY)</th>
<th>REGISTERED BUSINESS NUMBER IE. ABN/ACN</th>
</tr>
</thead>
<tbody>
<tr>
<td>Emperor's Garden P/L</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>RESIDENTIAL ADDRESS OF INDIVIDUAL OR REGISTERED BUSINESS ADDRESS OF ENTITY</th>
</tr>
</thead>
<tbody>
<tr>
<td>96-100 Hay St, Haymarket</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>SUBURB</th>
<th>Email</th>
</tr>
</thead>
<tbody>
<tr>
<td>New</td>
<td><a href="mailto:enquiries@emperorsgarden.com.au">enquiries@emperorsgarden.com.au</a></td>
</tr>
</tbody>
</table>

Please note that this page is NOT made available for public view.

Disclosure period

<table>
<thead>
<tr>
<th>1 JULY 2014</th>
<th>30 JUNE 2015</th>
</tr>
</thead>
<tbody>
<tr>
<td>DISCLOSURE PERIOD COMMENCES</td>
<td>DISCLOSURE PERIOD ENDS</td>
</tr>
</tbody>
</table>

Donor declaration

<table>
<thead>
<tr>
<th>Jonathan Yee</th>
</tr>
</thead>
<tbody>
<tr>
<td>DONOR NAME/AUTHORISED PERSON (ENTITY)</td>
</tr>
</tbody>
</table>

| on behalf of Emperor's Garden P/L |
| DONOR ENTITY NAME (IF APPLICABLE) |

Declare that all donations made during the relevant disclosure period have been disclosed, and the information contained in this disclosure and any attachments is true and correct. I understand that giving information which I know is false or do not reasonably believe is true, is an offence.

<table>
<thead>
<tr>
<th>Signature of Donor</th>
<th>Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>Jonathan Yee</td>
<td>20-10-15</td>
</tr>
</tbody>
</table>

Office Use Only

<table>
<thead>
<tr>
<th>RECEIVED</th>
<th>2 OCT 2015</th>
<th>FILE NO</th>
<th>PDF DISCLOSURE RECORD NO</th>
</tr>
</thead>
<tbody>
<tr>
<td>20 OCT 2015</td>
<td>093036</td>
<td>093036</td>
<td></td>
</tr>
</tbody>
</table>

New South Wales Electoral Commission | PO Box 4048, Sydney NSW 2001 | Tel: 1300 022 111 | Fax: 1300 022 110 | Email: tdo@elections.nsw.gov.au | www.elections.nsw.gov.au
Disclosure of political donations for a **Major Political Donor** for the year ending 30 June 2015

**Major political donor name**: Emperor & Gordon P/L

**Part A: Reportable political donations made - general (not made at a fundraising function or venture)**

Did the donor make any reportable political donations to a NSW political party, candidate (state or local government), group of candidates, elected member (member of parliament or local government council) or third-party campaigner during the relevant disclosure period?

☐ YES Enter the details in the table below

☐ NO Proceed to Part B

<table>
<thead>
<tr>
<th>Date of donation</th>
<th>Name of NSW political party, candidate (state or local government), group of candidates, elected member (member of parliament or local government council) or third-party campaigner your donation was made to</th>
<th>Purpose (State, LG, Admin)</th>
<th>Receipt no.</th>
<th>Amount of donation ($)</th>
<th>Gift type (monetary, non-monetary)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Eg. 01.08.14</td>
<td>Party Name</td>
<td>LG</td>
<td>300586</td>
<td>$1,200.00</td>
<td>Monetary</td>
</tr>
<tr>
<td>01.09.15</td>
<td>ALP (NSW)</td>
<td>State</td>
<td>40926</td>
<td>$5000.00</td>
<td>Monetary</td>
</tr>
</tbody>
</table>

**TOTAL** $\text{\Box\text{5000}}$

If more space is required please go to the NSWEC website and download an additional spreadsheet.
Disclosure of political donations for a **Major Political Donor**
for the year ending 30 June 2015

**Major political donor name**: Emperor & Garden P/L

**Part B: Reportable political donations made - fundraising function or venture**

Were any reportable political donations made by the donor as part of a fundraising function or venture during the relevant disclosure period?

- [x] YES Enter the details in the table below
- [ ] NO

<table>
<thead>
<tr>
<th>Date donation was made</th>
<th>Name of NSW political party, candidate (state or local government), group of candidates, elected member (member of parliament or local government council) or third party campaigner your donation was made to</th>
<th>Name and location of the function or venture with a brief description of the purpose of the function</th>
<th>Purpose (State LG, Adjunct)</th>
<th>Reciept no.</th>
<th>Amount or value of donation ($)</th>
<th>Donation type (Monetary/ Non-Monetary)</th>
</tr>
</thead>
<tbody>
<tr>
<td>3-4-15</td>
<td>ALP (NSW)</td>
<td>Chinese Dinner 1/3/15 State</td>
<td></td>
<td>352816</td>
<td>81,250.00</td>
<td>Monetary</td>
</tr>
</tbody>
</table>

If more space is required please go to the NSWEC website and download an additional spreadsheet.

Once completed, please print, sign and forward to the New South Wales Electoral Commission.
Annexures
Emperor’s Garden
EG 5
Disclosure of political donations form Major Political Donor

for the year ending 30 June 2015

This form must be completed by the donor. This is the person or entity who paid for the donation (whose name appears on the credit card or cheque used to make the donation).

YEE
DONOR SURNAME

Valentina
DONOR GIVEN NAME

Emperor's Garden Pty Ltd
ENTRY NAME, REGISTERED BUSINESS NAME (QUOTA)

96 - 100 Hay Street
RESIDENTIAL ADDRESS OF INDIVIDUAL REGISTERED BUSINESS ADDRESS OF ENTITY

Haymarket
SUBURB

NSW 2000
STATE POSTCODE

+61 2 (2) 226 8
DAYTIME PHONE NO

Please note that this paper is NOT made available for public view.

1 JULY 2015
DATE OF DISCLOSURE STATEMENTS

1 JULY 2015
DATE OF DISCLOSURE STATEMENTS

Valentine Yee
DONOR NAME AUTHORIZED PERSON (ENTITY)

Emperor’s Garden Pty Ltd
ENTRY NAME, REGISTERED BUSINESS NAME (QUOTA)

Valentine Yee
AUTHORIZED PERSON (ENTITY)

DECLARE that all donations made during the relevant disclosure period have been disclosed, and the information contained in this disclosure and any attachments is true and correct. I understand that giving information which I know is false or do not reasonably believe is true, is an offence.

Valentine Yee
SIGNATURE OF DONOR OR AUTHORIZED PERSON ON BEHALF OF ENTITY

26/11/15
DATE

RECIVED
26 NOV 2015
FILE NO

DF 2014/28

NSW ICAC EXHIBIT

E18/0003/AS-10-005/PR-0001

COPY

EF.880

0.39135 - amendment
Disclosure of political donations for a **Major Political Donor**
for the year ending 30 June 2015

**Major political donor name:** Emperor's Garden Pty Ltd

<table>
<thead>
<tr>
<th>Date of donation made</th>
<th>Name of NSW political party, candidate (state or local government), group of candidates, elected member (member of parliament or local government councillor) or third party campaigner to whom donation was made to</th>
<th>Purpose ( brief description)</th>
<th>Receipt no.</th>
<th>Amount accepting donation (f)</th>
<th>Gift type (monetary/non-monetary)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Jan 10, 2015</td>
<td>M. Johnson</td>
<td>15</td>
<td>012466</td>
<td>$1,200.00</td>
<td>Monetary</td>
</tr>
</tbody>
</table>

If more space is required please go to the NSWEC website and download an additional spreadsheet.

New South Wales Electoral Commission - GPO Box 714, Sydney NSW 2001 - Tel 1300 072 911 - Fax 029 970 5110 - Email info@electoral.nsw.gov.au - www.electoral.nsw.gov.au

Page 1 of 2

17
Disclosure of political donations for a Major Political Donor for the year ending 30 June 2015

Major political donor name: Emperor's Garden Pty Ltd

<table>
<thead>
<tr>
<th>Date</th>
<th>Donation Made</th>
<th>Name of NSW political party, candidate or local government group or candidate, elected member (member of parliament or local government councillor or third party campaignatsu's donation was made to)</th>
<th>Name and location of the function or venture with a brief description of the purpose of the function or venture</th>
<th>Purpose (State/Adjmt)</th>
<th>Receipt no</th>
<th>Amount or value of donation ($)</th>
<th>Donation type (monetary/non-monetary)</th>
</tr>
</thead>
<tbody>
<tr>
<td>09.04.15</td>
<td>ALP (NSW)</td>
<td>Dinner with candidate at XYZ Hotel, North Sydney.</td>
<td></td>
<td>State</td>
<td>40516</td>
<td>5,000.00</td>
<td>Monetary</td>
</tr>
<tr>
<td>09.04.15</td>
<td>Country Labor</td>
<td>Chinese Donation</td>
<td></td>
<td>State</td>
<td>40526</td>
<td>5,000.00</td>
<td>Monetary</td>
</tr>
</tbody>
</table>

TOTAL: $ 10,000.00

If more space is required please go to the NSWIC website and download an additional spreadsheet.

Once completed, please print, sign and forward to the New South Wales Electoral Commission.

NSW ICAC EXHIBIT
EMPEROR'S GARDEN PTY LTD
96-100 HAY STREET
CHINATOWN SYDNEY NSW 2000

6 September 2015

Dear Sir/Madam,

Your Donations to NSW Labor

Thank you for your financial support to the Australian Labor Party (NSW Branch).

Your support during the 2014-2015 financial year helped us in our fight at the 2015 NSW State Election.

Donations of $1000 or more, or donations which when aggregated add up to $1000 or more, need to be disclosed to the NSW Electoral Commission on the form attached.

Our records indicate that you have made the following donation(s):

<table>
<thead>
<tr>
<th>Date</th>
<th>Amount</th>
<th>Receipt #</th>
<th>Gift Type</th>
<th>Description</th>
<th>Donation to</th>
</tr>
</thead>
<tbody>
<tr>
<td>9/04/2015</td>
<td>$5,000.00</td>
<td>49016</td>
<td>Monetary</td>
<td>Chinese dinner 12/3/2015</td>
<td>Al P (NSW)</td>
</tr>
<tr>
<td>9/04/2015</td>
<td>$5,000.00</td>
<td>49026</td>
<td>Monetary</td>
<td>Chinese donation</td>
<td>Country Labor</td>
</tr>
</tbody>
</table>

Please check this information against your records prior to making your disclosure.

If you have any questions, please contact Loretta Marcus or Maggie Wang at the NSW Labor Office on (02) 9207 2000 or loretta@nswlabor.org.au or maggie@nswlabor.org.au.

Once again, thank you for your support.

Yours sincerely

Kaila Murnain
ASSISTANT GENERAL SECRETARY
Annexures
Emperor’s Garden
EG 6
Our Ref: 2016/74

The Proper Officer
Emperor’s Garden Pty Ltd
96-100 Hay Street
HAYMARKET NSW 2000

22 February 2017

Dear Sir,

**Notice to produce (documents) issued under s110A(1)(b) of the Election Funding, Expenditure and Disclosures Act 1981**

The New South Wales Electoral Commission ("the Commission") is a statutory body responsible for regulating and enforcing compliance with the Parliamentary Electorates and Elections Act 1912 ("PEE Act"), Election Funding, Expenditure and Disclosures Act 1981 ("EFED Act") and the Lobbying of Government Officials Act 2011 ("LOGO Act") (collectively referred to hereafter as "the Acts"). The Commission is responsible for undertaking investigations where it is satisfied on reasonable grounds that one or more of the Acts may be, are or have been, contravened.

I enclose a notice, bearing the Commission barcode EC0000641 issued under s110A(1)(b) of the EFED Act. You will see that it requires you to produce certain documents in relation to cash political donations made at a function called the ‘Chinese Community Dinner’ – ‘Chinese Friends of Labor’ held at The Eight Modern Chinese Restaurant on 12 March 2015. The Commission is investigating whether a number of donations made by individuals, were made for and on behalf of other person/s, which may constitute a breach of the EFED Act. Further details are set out in the notice.

Attached to and forming part of this correspondence is information relating to the requirements of section 110A as well as penalty provisions for failure to comply.

For the purposes of the enclosed notice, a list of definitions are attached to this correspondence.

You should read the notice carefully and if necessary, seek legal advice regarding the requirements of the notice. The information contained within this notice and supplied by you in response to the notice is to remain confidential.

If you have any questions about the notice, please call Peter BARAGRY on (02)9290 5429.

Yours Sincerely

Peter Baragry
Investigator / Inspector
Funding, Disclosure & Compliance
ELECTION FUNDING, EXPENDITURE AND DISCLOSURES ACT 1981

Section 110A – Power to require provision of documents and information

(1) The Electoral Commission may, by notice in writing to a person, require the person:

(a) to provide such information as the Electoral Commission reasonably requires for the purposes of the enforcement of this Act, or

(b) to produce to the Electoral Commission, at the place and time specified in the notice, any document that the Electoral Commission reasonably requires for the purposes of the enforcement of this Act, or

(c) to answer questions about any matters in respect of which information is reasonably required for the purposes of the enforcement of this Act, or

(d) to attend at a specified place and time to answer questions under this section if attendance at that place is reasonably required in order that the questions can be properly put and answered.

(2) The place and time at which a person may be required to produce a document, or to attend and answer questions, is to be a place and time nominated by the Electoral Commission that is reasonable in the circumstances.

(3) A notice under this section that requires a person to produce a document may only require a person to produce existing documents that are in the person's possession or that are within the person's power to obtain lawfully.

(4) The Electoral Commission may take copies of any documents provided under this section.

(5) If the Electoral Commission has reason to believe that any documents provided under this section are evidence of an offence against this Act or the regulations, the Electoral Commission may retain the documents until proceedings for the offence have been heard and determined.

(6) A person who, without reasonable excuse, fails to comply with a requirement made of the person under this section is guilty of an offence. Maximum penalty: 200 penalty units.

(7) A person who provides any document or information, or answers any question, in purported compliance with a requirement made under this section, knowing that the document, information or answer is false or misleading in a material particular, is guilty of an offence. Maximum penalty: 400 penalty units or imprisonment for 2 years, or both.

(8) A function conferred on the Electoral Commission by this section may be exercised by any person authorised by the Electoral Commission to exercise its functions under this section:

(a) who is employed in the Public Service, or

(b) who is not so employed but is subject to the control and direction of the Electoral Commission in relation to any function under this section.
DEFINITIONS

Please note for the purposes of the enclosed notice(s), the following terms have the following meaning in pursuant to sections 4 and 84 of the Election Funding, Expenditure and Disclosures Act 1981, section 3 of the Election Funding, Expenditure and Disclosures Regulation 2009, section 3 of the Parliamentary Electorates and Elections Act 1912 and section 3 of the Lobbying of Government Officials Act 2011.

"Amend" includes:
   a) Alter, omit, add or substitute.

"Books" includes:
   a) A register; and
   b) Financial reports or financial records, however compiled, recorded or stored;
   c) And a document; and
   d) Banker's books; and
   e) Any other record of information

"Bankers books" includes:
   a) Books of a bank, building society or credit union;
   b) Cheques, orders for payment of money, bills of exchange or promissory notes in the possession or under the control of a bank, building society or credit union.

"Commissioner" means:
The Electoral Commissioner for New South Wales appointed under the PEE Act and includes a person duly acting as the Electoral Commission.

"Declaration" includes:
   a) A disclosure made in accordance with Part 6 of the EFED Act.

"Document" includes:
   a) Anything on which there is writing, or
   b) Anything on which there are marks, figures, symbols or perforations having a meaning for persons qualified to interpret them, or
   c) Anything from which sounds, images or writings can be reproduced with or without the aid of anything else, or
   d) A map, plan, drawing or photograph.

"Donor" means:
A person who makes a gift.

"Financial records" includes:
   a) Invoices, receipts, orders for the payment of money, bills of exchange, cheques, promissory notes and vouchers; and
   b) Documents of prime entry; and
   c) Working papers and other documents needed to explain:
      i. The methods by which financial statements are made up; and
      ii. Adjustments to be made in preparing financial statements.

"Financial Year" means:
Year ending 30 June.

"Gift" means:
Any disposition of property made by a person to another person, otherwise than by will, being a disposition made without consideration in money or money's worth or with inadequate consideration, and includes the provision of a service (other than volunteer labour) for no consideration or for inadequate consideration.
"Government Official" includes:
   a) A Minister or Parliamentary Secretary;
   b) A staff member of a Minister or Parliamentary Secretary (including a staff member in an electorate office);
   c) The head of a Public Service agency;
   d) A person employed in the Public Service of New South Wales, the Transport Service of New South Wales or any other service of the Crown;
   e) An individual who is engaged under a contract to provide services to or on behalf of the Public Service of New South Wales, the Transport Service of New South Wales or any other service of the Crown; and
   f) A member (however expressed) of, or of the governing body of, a statutory body.

"Inspector" means:
   a) A person who is employed under Chapter 1A of the Public Sector Employment and Management Act 2002 in the Government Service, or
   b) A person who is not so employed but is subject to the control and direction of the Electoral Commission in relation to any function under this section, and who is appointed by the Electoral Commission as an inspector for the purposes of this section.

"Major Political Donor" means:
   An entity or other person (not being a party, elected member, group or candidate) who makes a reportable political donation of or exceeding $1000.

"Political Donation" in pursuant to section 85 of the EFED Act is:
   a) A gift made to or for the benefit of a party;
   b) A gift made to or for the benefit of an elected member;
   c) A gift made to or for the benefit of a candidate or a group of candidates; and
   d) A gift made to or for the benefit of an entity or other person (not being a party, elected member, group or candidate), the whole or part of which was used or is intended to be used by the entity or person:
      a. To enable the entity or person to make, directly or indirectly, a political donation or to incur electoral expenditure, or
      b. To reimburse the entity or person for making, directly or indirectly, a political donation or incurring electoral expenditure.

"Prohibited Donor" in pursuant to section 96GAA of the EFED Act is:
   a) A property developer; or
   b) A tobacco industry business entity; or
   c) A liquor or gambling industry business entity;

"Scheme" includes:
   a) An arrangement, an understanding or a course of conduct.
Notice issued to an individual pursuant to Section 110A(1)(b) of the Election Funding, Expenditure and Disclosures Act 1981

To: The Proper Officer
Emperor’s Garden Pty Ltd
96-100 Hay Street
HAYMARKET NSW 2000


I, Peter BARAGRY, have reason to suspect that cash political donations made at a function called the ‘Chinese Community Dinner’ – ‘Chinese Friends of Labor’ held at The Eight Modern Chinese Restaurant on 12 March 2015, were made for and on behalf of other person/s.

I am authorised by the Commission to issue notices under s. 110A of the EFED Act and this notice is issued to you pursuant to that section. The notice is issued to you because I have reason to suspect that you are able to produce documents demonstrating your financial capacity to provide $10,000 (total) in cash political donations made at a function called either the ‘Chinese Community Dinner’ or ‘Chinese Friends of Labor’ ("the fundraising dinner") held at The Eight Modern Chinese Restaurant on 12 March 2015. The Commission is investigating whether a number of donations made at the fundraising dinner by certain individuals, were made for and on behalf of other person/s, which may constitute a breach of the EFED Act.

It is an offence to provide any document or information or answer any questions in purported compliance with a requirement of this notice, knowing that the document or information or answer is false or misleading in a material particular. It is also an offence to fail to comply with a requirement of this notice, without reasonable excuse.
YOU ARE REQUIRED BY THIS NOTICE TO PRODUCE THE FOLLOWING DOCUMENTS

1. Please provide copies of all your bank statements from 1 February 2015 to 30 April 2015.

2. Please provide copies of the receipts given to you by the person/s or organisation/s who received your donations on either the 12 March 2015 or the 9 April 2015 when you donated $5000 to the Country Labor Party ('CLP') and $5000 to the Australian Labor Party ('ALP') ($10,000 in total).

You are required to provide the documents referred to in this notice to:

To: Investigator Peter BARAGRY

By: 10.00AM

On: Wednesday 8 March 2017


If you have any questions about this notice, please call Peter BARAGRY on (02) 9290-5429.

Thank you for your assistance regarding this matter.

Peter BARAGRY
Investigator / Inspector
22 February 2017
Annexures
Emperor’s Garden
EG 7
Australian Labor Party New South Wales
9th Floor, 377-383 Sussex St Sydney PO Box K-408 Haymarket NSW 1240
Telephone: (02) 9207 2000 Facsimile: (02) 9264 2574 Email:
finance@alplabor.org.au ABN 04 720 463 376

Labor

Tax Receipt To:
EMPEROR’S GARDEN PTY LTD
96-100 HAY STREET CHINATOWN
SYDNEY NSW 2000

Tax Receipt Number 00040916
Date 9/04/2015

Customer Reference
JONATHAN YEE

Customer’s ABN 82 001 623 767

Description
DONATION TO CHINESE FRIENDS OF LABOR - NSW LABOR CHINESE LAUNCH
12 MARCH 2015

$5,000.00

By accepting this invoice, I declare that:

This amount will be used to incur State campaign expenses. I am an individual on the
electoral roll or a business registered in Australia.

This payment is not made by or on behalf of a ‘prohibited donor’ (a ‘property developer’, an
associate of a tobacco, liquor or gambling industry)

I have not breached the donation cap, being $5,900 for a party and $2,600 for candidates for

Major Political Donor (an individual who makes a reportable political donation or multiple
donations of or exceeding $1,000 in one financial year) MUST lodge their returns with the
NSW Electoral Commission at year end.

This invoice will be treated as a receipt when payment is made in full.

Transfer Details:
Account Name: ALP NSW State Campaign Account
BSB: 062 006
Bank Account No: 1131 4646

Subtotal $5,000.00

GST $0.00

Paid To Date $5,000.00

Balance Due $0.00

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Australian Labor Party New South Wales
9th Floor, 377-383 Sussex St Sydney PO Box K408 Haymarket NSW 1240
Telephone: (02) 9207 2900 Facsimile: (02) 9264 2574 Email: finance@nswalp.com ABN 36 392 855 036

Tax Receipt To:
EMPEROR'S GARDEN PTY LTD
96-100 HAY STREET CHINATOWN
SYDNEY NSW 2000

Customer Reference
JONATHAN YEE

Customer's ABN 82 001 623 767

Description
DONATION TO CHINESE FRIENDS OF LABOR - NSW LABOR CHINESE LAUNCH
12 MARCH 2015

By accepting this invoice, I declare that:
This amount will be used to incur State campaign expenses. I am an individual on the electoral roll or a business registered in Australia.
This payment is not made by or on behalf of a 'prohibited donor' (a 'property developer', an associate of a tobacco, liquor or gambling industry)
I have not breached the donation cap, being $5,900 for a party and $2,600 for candidates for year 2016/2017.
Major Political Donor (an individual who makes a reportable political donation or multiple donations of or exceeding $1,000 in one financial year) MUST lodge their returns with EFA at year end.
This Invoice will be treated as a receipt when payment is made in full.

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<th>Transfer Details:</th>
<th>Subtotal</th>
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GST $0.00

Paid To Date $5,000.00

Balance Due $0.00

Date 9/04/2015

00040926
Bank statements produced by Emperor’s Garden Pty Ltd in response to the NSW Electoral Commission Notice to Produce dated 22 February 2017 have been removed from this bundle